

Docket No. 8201/Y01/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Inventor(s): Michael R. Rice, Eric A. Englhardt, Vinay Shah, Martin R. Elliott, Robert B. Lowrance and Jeffrey C. Hudgens
Title: SYSTEMS AND METHODS FOR TRANSFERRING SMALL LOT SIZE SUBSTRATE CARRIERS BETWEEN PROCESSING TOOLS
Serial No.: 10/764,620
Filed: January 26, 2004
Examiner: Kasenge, Charles R.
Group Art Unit: 2125

Transmitted herewith is:

☒ Information Disclosure Statement, PTO Form 1449 and four cited references (copy of one reference enclosed).

FEE CALCULATION					
Fee Items	Claims Filed	Included With Basic Fee	Extra Claims	Fee Rate	Total
Total Claims	N/A	- 20 =	-0-	X \$18.00	\$0.00
Independent Claims	N/A	- 3 =	-0-	X \$89.00	\$0.00
Basic Filing Fee				\$790.00	\$0.00
TOTAL FEES					PAID

☐ The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

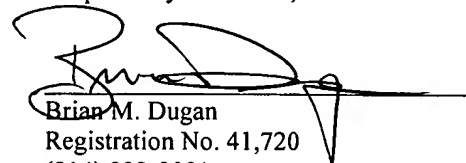
☒ Please address all future correspondence to:
Customer # 41161
Dugan & Dugan, PC
55 South Broadway
Tarrytown, NY 10591

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: August 29, 2005

Signature: 

Respectfully submitted,


Brian M. Dugan
Registration No. 41,720
(914) 332-9081



PATENTS
8201/Y01/SYNX/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael R. Rice, Eric A. Englhardt, Vinay
Shah, Martin R. Elliott, Robert B. Lowrance
and Jeffrey C. Hudgens

Serial No. : 10/764,620

Filed : January 26, 2004

For : SYSTEMS AND METHODS FOR TRANSFERRING SMALL
LOT SIZE SUBSTRATE CARRIERS BETWEEN
PROCESSING TOOLS

Group Art Unit : 2125

Customer No. : 41161

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 5,110,249, Arthur E. Norman

U.S. Patent No. 6,036,426, Gary Hillman

U.S. Patent No. 6,183,186, Peltola et al.

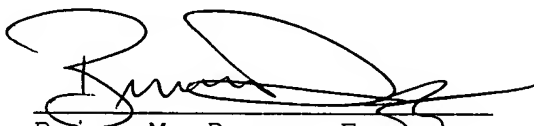
Foreign Art Reference No. EP 0 277 536 A (EPO)



These references are also listed on the accompanying
Information Disclosure Statement (Form PTO-1449).

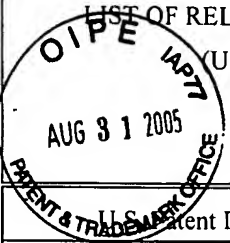
Consideration of the foregoing in relation to this
patent application is respectfully requested.

Respectfully Submitted,



Brian M. Dugan, Esq.
Registration No. 41,720
Dugan & Dugan, PC
Attorneys for Applicants
(914) 332-9081

Dated: August 29, 2005
Tarrytown, New York

U.S. Department of Commerce, Patent and Trademark Office <div style="text-align: center;">  </div>				Docket No.: 8201/Y01/SYNX/JW		Serial No.: 10/764,620	
LIST OF RELEVANT ART CITED BY APPLICANT (Use several sheets if necessary)				Applicants: Michael R. Rice, et al			
				Filing Date: January 26, 2004		Group: 2125	

U.S. Patent Documents							
*Examiner Initial	Document Number	Issue Date	Name	Class	Subclass	Filing Date If Appropriate	
	US-1	5,110,249	05/05/92	Arthur E. Norman			
	US-2	6,036,426	03/14/00	Gary Hillman			
	US-3	6,183,186	02/06/01	Peltola et al.			
	US-4						
	US-5						
	US-6						
	US-7						
	US-8						
	US-9						
	US-10						
	US-11						

Foreign Patent Documents							Translation	
Document Number	Date	Country	Class	Subclass	Yes	No		
F-1	EP 0 277 536 A	08/10/88	EPO		X (Abstract)			
F-2								
F-3								
F-4								
F-5								

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)	
OT-1	
OT-2	
OT-3	

Examiner	Date Considered
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.